# **Understanding the workload (2)**

Cheng Zhang and Aaron Zhao, Imperial College London

# Introduction

#### **Introduction - Outline**

Using the basic networks and building blocks we learned in the previous lecture, we will look at how researchers have constructed

- LLAMA2
- CLIP
- SAM
- Whisper

GPT's training detail is not open-sourced, and it is (or should be) somehow very similar to the OPT and LLaMA models that we have looked at.



## **Introduction - Outline (iii)**

LLaMA normally refers to the LLaMA model, LLaMA-Chat refers to the chatbot.

What is the difference?

- LLaMA is fully trained with only the pre-training data.
- LLaMA-Chat requires additional treatment such as an iterative refinement using Reinforcement Learning with Human Feedback (RLHF).

This is almost the same for all state-of-the-art LLMs! There are additional steps required to make them a good chatbot!

## LLaMA2 pre-training details

Architecture details

- Standard Transformer architecture
- Pre-normalization with RMSNorm
- Rotary positional embedding (RoPE)
- Grouped-query attention (GQA), will cover in more detail in the next lecture

# **Training details**

- two trillion tokens training data!
- AdamW optimizer
- Cosine learning rate scheduler with warmup

## Normalization

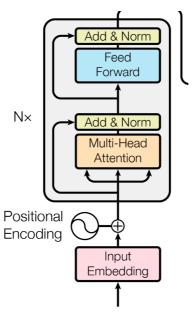
- Pre- and Post-Normalization
- Post-Normalization

$$x = f_{N(x+f(x))}$$

Pre-Normalization

$$x=x+f(f_N(x))$$

#### Normalization (ii)



#### LayerNorm and RMSNorm

LayerNorm:  $y = \frac{x-\mu}{\sigma} \alpha$ 

 $\mu$  is the mean and  $\sigma$  is the std,  $\alpha$  is a learnable gain parameter.

$$\begin{split} & \text{RMSNorm: } y = \frac{x}{RMS(x)} \alpha \\ & RMS(x) = \sqrt{\frac{1}{n} \sum_{i=0}^{n-1} x_i^2} \end{split}$$

RMSNorm can be seen as a simplified LayerNorm, and also more efficient.

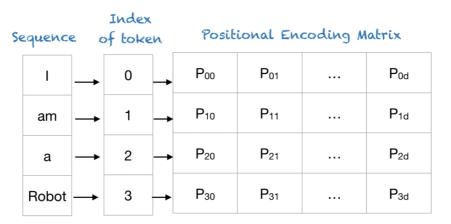
#### **Positional Embedding**

The original input is a sequence of word embedding  $X_e \in \mathcal{R}^{N \times D}.$ 

Classical positional embedding  $X_p\in \mathcal{R}^N$  is added to the word embedding to provide  $X=X_e+X_p.$ 

Without positional embedding, the model cannot tell the difference between "I am a robot" and "am I a robot", because we take these inputs in parallel.

## **Positional Embedding (ii)**



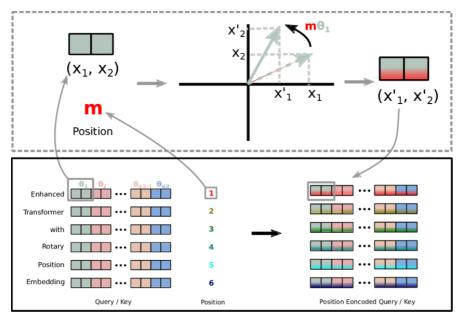
Positional Encoding Matrix for the sequence 'I am a robot'

## **Rotary Positional Embedding (RoPE)**

- Absolute positional embedding: sinusoidal functions (no length constraints)
- Relative positional embedding: additional components added to the Q, K components, such as T5, slow at large sequences, no KV caching, not commonly used in large models.
- Rotary positional embedding (RoPE): proposed in RoFormer, derived from constraints of the attention mechanism (inner product between query and key) and relative positional encoding ((m n)).

$$\langle f_{q(\boldsymbol{x}_m,m)}, f_{k(\boldsymbol{x}_n,n)} \rangle = g(\boldsymbol{x}_m, \boldsymbol{x}_n, m-n)$$

#### Rotary Positional Embedding (RoPE) (ii)



# Contrastive Language–Image Pretraining (CLIP)

#### CLIP

Classic computer vision systems (such as ResNets) have the following disadvantages:

- Trained on labelled data.
- Normally predict a fixed set of predetermined object categories
- New labelled data is needed if you want to specify any other visual concept Can we learn directly from raw text about images and the images?

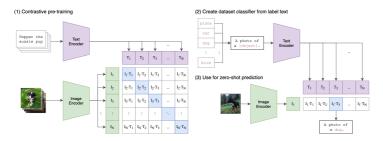
The core idea is to use natural language as supervision.

Contrastive representation learning works by predicting which of the  $N \times N$  possible (image, text) pairings across a batch actually occurred.

# CLIP (ii)

This forces learning in a multi-modal embedding space by jointly training an image encoder and text encoder to

- maximize the cosine similarity of the image and text embeddings of the N real pairs in the batch
- minimize the cosine similarity of the embeddings of the  $N^2-N$  incorrect pairings.



# CLIP (iii)

Although in the original CLIP paper, they have presented a zero-shot prediction method for image classification.

We normally use the CLIP image encoder as a pre-trained model, connect it with a classifier to perform classification.

CLIP (contrastive learning) opened the door for building **vision-language foundation models**.

# Segment Anything Model (SAM)

## **Segment Anything**

A foundation model for image segmentation

- A large-scale dataset on the task: 1+ billon masks and 11 million images
- An Image Encoder: ViT model
- A Prompt Encoder
  - Sparse prompts (points, text): points are positional encoded using random spatial frequencies, text using CLIP.
  - Dense prompts (masks): feed into convolution to extract an embedding.
- A Mask Decoder: maps the image embedding, prompt embeddings, and an output token to a mask. We focus on this part.

#### **Segment Anything**



### Segmentation tasks

Image segmentation a computer vision and image processing technique that involves grouping or labeling similar regions or segments in an image on a pixel level.

- Semantic segmentation: Segments amorphous regions (or repeating patterns) of similar material, which is uncountable (e.g., road, sky, and grass).
- Instance segmentation: Segments countable objects in an image (e.g., people, flowers, birds, animals, etc.).
- Panoptic segmentation: Combines both.

#### Segmentation tasks (ii)



Figure 6: Semantic Segmentation

#### Segmentation tasks (iii)

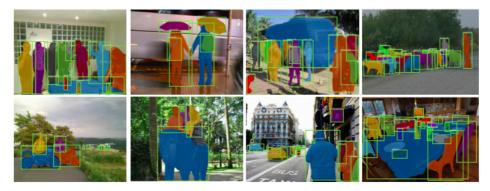


Figure 7: Instance Segmentation

#### Segmentation tasks (iv)

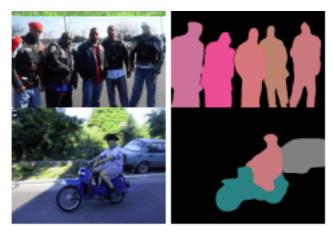
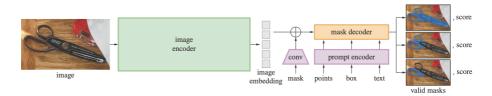


Figure 8: Panoptic Segmentation

## SAM Model Architecture

- Image Encoder
- Prompt Encoder
- Mask Decoder



#### Image Encoder in SAM

- Original Image in shape of  $1024 \times 1024$
- Pretrained Masked AutoEncoder
- Transform to embedding  $64\times 64\times 256$

### SAM Model Architecture (ii)

#### Mask AutoEncoder











#### SAM Model Architecture

#### **Prompt Encoder in SAM**

- Points and Bounding Box: positional encoding with trained embeddings
- Language inputs: CLIP model

#### Mask Decoder

- Self-attention (prompt tokens)
- Cross-attention (prompt with image query): update prompt using contextual information from images.
- Cross-attention (image with prompt query): update image embedding using contextual information from prompts.
- Upsample image embedding and classify pixels using an MLP layer

#### SAM Model Architecture (ii)

#### The Most Important Part: SA-1B dataset





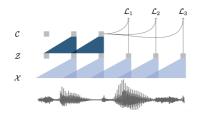
# Whisper

Speech recognition is a task of converting spoken language to text. It involves recognizing the words spoken in an audio recording and transcribing them into a written format (text).



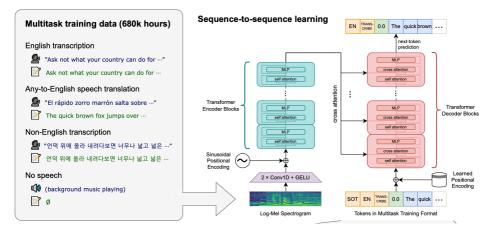
WAV2Vec The 'classic' speech recognition network

#### WAV2Vec



- An encoder network: takes audio signal as inputs and project them to an embedding space using Convolutions
- A context network: combines multiple time-steps of the encoder to obtain contextualized representations also using Convolutions.
- Limited context length.

## Whisper Architecture



## log-MelSepectrum

- Transform signal from the time domain to frequency domain
- Studies have shown that humans do not perceive frequencies on a linear scale. We are better at detecting differences in lower frequencies than higher frequencies.
  - ${\scriptstyle \bullet}\,$  we can easily tell the difference between 500 and 1000 Hz
  - but we will hardly be able to tell a difference between 10,000 and 10,500 Hz
- In 1937, Stevens, Volkmann, and Newmann proposed a unit of pitch such that equal distances in pitch sounded equally distant to the listener. This is called the mel scale.
- Perform a unit conversion to the log-mel scale
- All audio is re-sampled to 16,000 Hz, and an 80-channel log-magnitude Mel spectrogram representation is computed on 25-millisecond windows with a stride of 10 milliseconds.

## Whisper Architecture

- Conv1D and GELU to transform very long spectrogram input into valid embedding.
- Classic Transformer encoder-decoder architecture with self- and cross- attentions.
- Sinusoidal positional embedding.
- Indicate the beginning of prediction with a startof transcript token

#### Summary

- Introduced three new tasks:
  - Unsupervised learning (contrastive learning)
  - Image segmentation
  - Speech Recognition
- A few model architectures (CLIP, LLaMA2, SAM, Whisper)
- Reuse classic and powerful building blocks (eg. self-attention, image encoder)